

Practitioner's Docket No. 1576.79



RECEIVED

AUG 18 2000

TECH CENTER 1600/2900

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: SATO, Takehiro; AMAIKE, Masato; SUZUKI, Hiroshi; and AOKI, Izuo

Application No.: 09/486,981

Group No.: unknown

Filed: February 28, 2000

Examiner: unknown

For: MOLECULAR COMPOUNDS CONTAINING PHENOL DERIVATIVES AS CONSTITUENT

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. sections 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is **mandatory**;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. section 1.8(a)

37 C.F.R. section 1.10*

☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Address"
Mailing Label No. EL498518521US
(mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date:

5/22/00

Signature

Shane A. McGoldrick

(type or print name of person certifying)

***WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).
"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Copies of Listed Information Items Accompanying This Statement
4. Translation(s) of Non-English Language Documents
5. Identification of Person(s) Making This Information Disclosure Statement

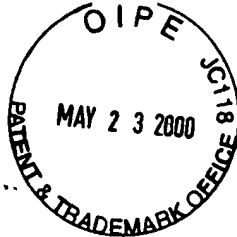
Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Practitioner's Docket No. 1576.79



05-24-00
412 Rec'd PGT/PTO

23 MAY 2000

PATENT
RECEIVED

AUG 18 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: SATO, Takehiro; AMAIKE, Masato; SUZUKI, Hiroshi; and AOKI, Izuo
Application No.: 09/486,981 Group No.: unknown
Filed: February 28, 2000 Examiner: unknown
For: MOLECULAR COMPOUNDS CONTAINING PHENOL DERIVATIVES AS CONSTITUENT

TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

Date: 5/18/2000

Louise A. Foutch
Signature of Practitioner

Reg. No.: 37,133
Tel. No.: 727-538-3800
Customer No.: 24040

Louise A. Foutch
Mason & Associates, P.A.
17757 US Hwy 19 N, Suite 500
Clearwater, FL 33764

CERTIFICATE OF EXPRESS MAIL (37 C.F.R. section 1.10)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Express Mail: EL498518521US

Date: 5/23/00

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Shane A. McGoldrick
Signature

Shane A. McGoldrick
(type or print name of person certifying)

Section 3. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

Section 4. Translation(s) of Non-English Language Documents

Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

JP-56-113754 A (Abstract only)
JP-8-133910 A (Abstract only)
JP-2-40304 A (Abstract only)

The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

EP 336391 (English Abstract) - Substantial equivalent to US 5,068,062

Section 5. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

- a. the practitioner who signs below on the basis of the information supplied by the inventor(s), supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. section 1.56(c)), and in the practitioner's file.

Date: 5/18/2000

Reg. No.: 37,133
Tel. No.: 727-538-3800
Customer No.: 24040

Louise A. Foutch
Signature of Practitioner

Louise A. Foutch
Mason & Associates, P.A.
17757 US Hwy 19 N
Suite 500
Clearwater, FL 33764